Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06501-085001	Application No. 09/937,162
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant: Yoshihiro Sowa et al.	
		Filing Date: September 21, 2001	Group Art Unit:

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation Yes	Translation No
	AB	WO 92/05286	04/02/92	WIPO				

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AC	Hasegawa et al., "Cloning of a GADD34-like Gene That Interacts with the Zinc-Finger Transcription Factor Which Binds to the p21^{WAF}Promoter", *Biochemical and Biophysical Research Communications*, Vol. 256(1), pages 249-254 (1999).
	AD	Mo et al., "Down-Regulation of Topoisomerase IIα in CEM Cells Selected for Merbarone Resistance Is Associated with Reduced Expression of Sp3^{1}", *Cancer Research*, Vol. 57(22), pages 5004-5008 (1997).
	AE	Dennig et al., "An inhibitor domain in Sp3 regulates its glutamine-rich activation domains", *The EMBO Journal*, Vol. 15(20), pages 5659-5667 (1996).
	AF	Majello et al., "Sp3 Is a Bifunctional Transcription Regulator with Modular Independent Activation and Repression Domains", *The Journal of Biological Chemistry*, Vol. 272(7), pages 4021-4026 (1997).
	AG	Lania et al., "Transcriptional Regulation by the Sp Family Proteins", *Int. J. Biochem. Cell. Biol.*, Vol. 29(12), pages 1313-1323 (1997).
	AH	Majello et al., "Different members of the Sp1 multigene family exert opposite transcriptional regulation of the long terminal repeat of HIV-1", *Nucleic Acids Research*, Vol. 22(23), pages 4914-4921 (1994).
	AI	Udvadia et al., "Functional interactions between the retinoblastoma (Rb) protein and Sp-family members: Superactivation by Rb requires amino acids necessary for growth suppression", *Proc. Natl. Aca. Sci. USA*, Vol. 92(9), pages 3953-3957 (1995).

Examiner Signature	Date Considered 1/10/06

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	AE						

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation Yes	Translation No
	AF	60-149520	08/07/1985	Japan			(Abstract only)	
	AG							
	AH							
	AI							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AJ	Datto et al., "Functional Analysis of the Transforming Growth Factor β Responsive Elements in the WAF1/Cip1/p21 Promoter", J. Biol. Chem., 270:28623-28628, 1995
	AK	Nakajima et al., "FR901228, a Potent Antitumor Antibiotic, Is a Novel Histone Deacetylase Inhibitor", Exp. Cell Res., 241:126-133, 1998
	AL	Nakano et al., "Butyrate Activates the *WAF1/Cip1* Gene Promoter through Sp1 Sites in a p53-negative Human Colon Cancer Cell Line", J. Biol. Chem., 272:22199-22206, 1997
	AM	Sowa et al., "Sp3, but not Sp1, Mediates the Transcriptionsl Activation of the p21/WAF1/Cip1 Gene Promoter by Histone Deacetylase Inhibitor", Cancer Res., 59:426604270, 1999
	AN	Sowa et al., "Histone Deacetylase Inhibitor Activates the WAF1/Cip1 Gene Promoter through the Sp1 Sites", Biochem. Biophys. Res. Commun., 241:142-150, 1997
	AO	Warrell, Jr., et al., "Therapeutic Targeting of Transcription in Acute Promyelocytic Leukemia by Use of an Inhibitor of Histone Deacetylase", J. Nat'l. Cancer Inst., 90:1621-1625, 1998
	AP	Xiao et al., "Both Sp1 and Sp3 Are Responsible for $p21^{waf1}$ Promoter Activity Induced by Histone Deacetylase Inhibitor in NIH3T3 Cells", J. Cellular Biochem., 73:291-302, 1999
	AQ	Yoshida et al., "Potent and Specific Inhibition of Mammalian Histone Deacetylase Both *in Vivo* and *in Vitro* by Trichostatin A", J. Biol. Chem., 265:17174-17179, 1990
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	AS	

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